

PRE-AUDIT CHECKLIST
Instructions

CHECKLIST INSTRUCTIONS

Completing this checklist will assist you in determining your company's readiness to undergo an audit using the ACSF Operator Standards.

Column 1 of the checklist form lists the paragraph reference number for the Operator Standard, e.g., 1.1.1.

Column 2 of the form lists the requirements for each Operator Standard, e.g., *The Operator shall have a documented policy that emphasizes the organization's responsibility for complying with the laws, regulations, policies and procedures of the States in which operations are conducted.*

In the "Remarks / Evidence" area below each Standard, list the reference in company documentation (e.g., Operations Manual, Maintenance Manual, Training Manual, etc.) where the individual requirement is met. **Be as specific as possible in your reference, e.g., OM Chapter 1, Paragraph 1.2.1.**

Column 3 of the form asks whether the requirement is "Documented" (Yes/No) and "Implemented" (Yes/No). Check *yes* after "Documented," only if the requirement is FULLY documented. Otherwise, check *no* and indicate where the documentation is lacking.

Check *yes* after "Implemented," only if the requirement is FULLY in use throughout the company (where appropriate) and its use can be monitored. Otherwise, check *no* and indicate what is needed for the requirement to be fully implemented.

NOTE: You may find that many of the ACSF Operator Standards are currently in use throughout your company, but are not documented, or are only partially documented. This is an indication that company manuals, standard operating procedures, etc. need updating to reflect current company processes and procedures.

Check the "N/A" box in Column 3 if the particular Standard is *not applicable* to your operation. For example, the Standard in Flight Operations Paragraph 2.3.11 requires documented procedures regarding the assignment of a Flight Attendant or Cabin Server. You should check "N/A" in this section if your operation never utilizes a Flight Attendant or Cabin Server.

PRE-AUDIT CHECKLIST
Operator Information & Documents Reviewed

OPERATOR INFORMATION	
Operator:	Location(s):
Date:	
Person(s) Responsible for Completing Checklist:	
Name: Title:	
Name: Title:	
Name: Title:	

DOCUMENTS REVIEWED WHEN COMPLETING THIS CHECKLIST		
Document	Revision No.	Date

Section 1: Corporate Organization and Management		
1.0 Management System		
1.1 Organization		
1.1.1	<p>The Operator shall possess either: a valid Air Operator Certificate (AOC) and Operations Specifications (Ops Specs), or valid Management Specifications (MSpecs) issued by the National Aviation Authority (NAA). The Ops Specs or MSpecs and the associated documentation shall identify the scope of authorizations, limitations and restrictions, and the managers accountable for the AOC, Ops Specs and MSpecs operations. The master documentation of these authorizations must be maintained at the Principle Base of Operations.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.2	<p>The Operator shall have a policy that emphasizes the organization's responsibility for complying with the laws, regulations, policies and procedures of the States in which operations are conducted.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.3	<p>The Operator shall have a management system in place that ensures the organization has clearly defined lines of authority and responsibility for the establishment of processes to identify the minimum regulatory requirements, and a procedure to ensure regulatory compliance.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.4	<p>The Accountable Executive (AE) or a designated representative shall have overall accountability and responsibility for the effectiveness of the management system. The AE shall have absolute authority over control of resources necessary to finance, implement and enforce policies and procedures within the organization. The AE shall ensure all operations function as a system and not as isolated entities. The organization shall demonstrate clear lines of accountability from the AE into the systems of each operational area. The system of accountability shall be defined as part of senior management and included in the respective job descriptions.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.5	<p>The Operator shall ensure that the minimum required management positions, as required by the NAA, are filled with individuals who meet the minimum regulatory requirements, and are approved by the NAA. If a waiver is required to fill a required position, all of the appropriate documentation shall be available for review.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

1.1.6	<p>The Operator shall assign responsibility for compliance with governing regulations and internal standards to managers and individuals, as required by the complexity of the organization, who are qualified, trained, and approved or authorized by the Operator. Responsibilities for compliance shall be clearly defined in each individual job description.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.7	<p>The Operator shall appoint an individual whose responsibility is to manage, monitor, and coordinate the implementation and continuing oversight of the Safety Management System (SMS) processes. In this capacity the individual shall report to the Accountable Executive or designated representative who is accountable for the effectiveness of the system. The Company Organization Chart shall depict this individual's position within the organization and duties and responsibilities of this position will be clearly presented in all applicable operations manuals and in other controlled documents, as appropriate.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.8	<p>The Operator must document the competency requirements for the position identified in 1.1.7. The individual appointed must meet those requirements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.2 Management Commitment		
1.2.1	<p>The Operator shall have a corporate policy, signed by the Accountable Executive, that commits the organization to ensure that safety and quality are core values and a priority in all phases of the operation. The corporate policy shall be reviewed at least every two years and updated as required.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.2.2	<p>The Operator shall include safety, security, and quality in the basic duties and responsibilities at all levels of management. This shall be identified in job descriptions.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.2.3	<p>The Operator shall continually seek ways to improve and refine the organizations safety and quality commitment and culture. This shall be accomplished by:</p> <p>A. Documenting the requirement for all personnel to fully comply with all governing statutes and regulations.</p> <p><i>Remarks / Evidence:</i></p> <p>B. The conduct of periodic reviews, by Top Management and the Accountable Executive, of the safety and quality systems. This review shall validate the suitability of policies and procedures, and update or revise them as necessary.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>C. Establishing a method of communicating to the entire organization, the requirement to meet safety, quality, statutory, and regulatory requirements. <i>Remarks / Evidence:</i></p> <p>D. Establishing a safety policy. <i>Remarks / Evidence:</i></p> <p>E. Ensuring that safety objectives are established, managed, remain current, and are updated or revised as necessary. <i>Remarks / Evidence:</i></p> <p>F. Ensuring the availability of the resources needed to manage and maintain the safety and quality systems. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.3 Responsibilities and Authorities		
1.3.1	<p>The Accountable Executive shall ensure the authorities and responsibilities are defined, documented, and communicated throughout the organization. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.3.2	<p>The Accountable Executive shall appoint an individual who is responsible for oversight of each specific function of the operation. This individual shall be responsible for developing, implementing, maintaining and integrating (in conjunction with other functions) processes and procedures for his/her specific function. Further, this responsible individual shall keep the Accountable Executive informed on the performance of and need for improvement of the management system. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

1.3.3	<p>The Accountable Executive shall establish clearly defined lines of succession, for those times when the managers and supervisors are not readily available or are absent from the workplace. The person(s) shall meet the applicable requirements of the position to which they are temporarily appointed.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.3.4	<p>Responsible individuals shall be accountable for quality and safety results, and shall ensure compliance with applicable rules, regulations and organizational standards. They shall identify deficiencies, develop, implement and verify preventive and corrective measures. They shall communicate as appropriate with the appropriate regulatory authorities regarding issues related to safety and quality. The Accountable Executive shall have a method to gather objective evidence in order to assess the individual's success/failure.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.4 Human Resource Policies		
1.4.1	<p>The Operator shall provide to each employee current human resource policies and procedures.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.5 Corrective / Preventive Action		
1.5.1	<p>The management system shall have corrective and preventive action procedures to eliminate or mitigate to as low a level as practical, any quality and/or safety deficiency that is identified. The procedures shall include the level of management with the authority to commit resources (if necessary) to control the risk.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.5.2	<p>Corrective and preventive action shall ensure that the causes and effects of actual and potential non-conformances are mitigated, remedied and/or eliminated. This assessment shall be documented.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.5.3	<p>The management system shall specify the individuals responsible for:</p> <p>A. Reviewing non-conformities, noncompliance and deficiencies</p> <p><i>Remarks / Evidence:</i></p> <p>B. Determining the root cause of the non-conformities</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>C. Evaluating the need for corrective action <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>D. Implementing the appropriate corrective measures to minimize the possibility of a recurrence <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>E. Assigning an individual responsible for implementation of corrective and/or preventive actions <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>F. Monitoring and tracking the results of the actions <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>G. Mitigating regulatory action <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>H. Verifying that corrective or preventive actions have been implemented and effective <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>Individual departments shall document their processes for controlling risk and indicate how these processes integrate with company processes.</p>	

1.6 Corporate Management Review		
1.6.1	<p>At regular intervals (not to exceed one year) the Accountable Executive shall conduct a review of the management system to assess its adequacy and suitability. This review shall evaluate the systems effectiveness, determine required improvements, and the need for changes to the safety management system, and implement appropriate corrective and preventive actions. This review shall include, but not be limited to:</p> <p>A. Safety policy B. Safety objectives C. Organizational and internal reporting structure D. Individual authorities and responsibilities E. Company and organizational a. Policies; b. Processes; c. Procedures.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.6.2	<p>Records from management reviews shall be maintained. The company shall have procedures and processes for documenting the results and decisions reached during these management reviews. The documentation of the meeting shall be comprehensive and include definitions of the subject matter or topics, discussion generated, conclusions, results, and corrective action plans identified for each item.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.7 Provision of Resources		
1.7.1	<p>The Accountable Executive shall ensure sufficient resources are available to implement and sustain effective quality and safety systems.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.7.2	<p>The Accountable Executive shall ensure all functions within the organization are filled with competent individuals with the minimum knowledge, experience, qualifications, and demonstrated skills appropriate for the position.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.7.3	<p>The Accountable Executive shall ensure position responsibilities and qualifications requirements are practical, appropriate, and achievable. This includes all positions regardless of technical and regulatory proficiency requirements. A review of each job description shall be completed at least annually to ensure changes in expectations, competencies, and responsibilities are addressed. The entire process shall be documented in the Manual System.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

1.7.4	<p>The Accountable Executive shall ensure resources are available for all personnel maintain their competency through continuing education and training. All personnel required to meet regulatory requirements for their position must remain qualified. This training shall include, but is not limited to, management skills and qualification updates. All training shall be documented in the individual's training record.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.7.5	<p>The organization shall maintain training and qualification records on all personnel. These records shall include documents to demonstrate qualifications, experience, education and training. These records shall be maintained for the longer of: (1) the personnel are employed by the company, (2) as required by company operating or training manuals, (3) as required by the regulatory authority, or (4) as required by any other applicable law or regulation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.8 Operational Safety Planning and Control		
1.8.1	<p>The Operator shall have processes and procedures to identify, evaluate and implement mandates from external sources, such as original equipment manufacturers, regulatory agencies, etc., in its planning and decision processes. The Operator shall specify the individual(s) responsible for ensuring compliance and document this responsibility in its Manual System and in the individual's job description. The Operator shall establish a policy that clearly states all personnel are responsible for compliance with these mandates when performing their assigned duties.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.8.2	<p>The Operator shall establish processes and procedures to identify the minimum regulatory requirements, prior to each flight operation, and a procedure to ensure regulatory compliance for:</p> <p>A. Flight crew, B. Airplane, C. Flight operations, and D. Passengers.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Documentation & Records Management		
2.1 Operational Documentation		
2.1.1	<p>The organization shall ensure all documents used in the conduct of business are identifiable, concise, legible, and understandable by those who use them.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.1.2	<p>The organization shall ensure all documents are easily retrievable, useable and presented logically.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

2.2 Control of Documents		
2.2.1	The Operator shall have a master library and a system for document control and retention that is appropriate to the size and scope of the organization. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.2.2	The Operator shall have processes and procedures to ensure all documentation and manuals are kept current. This shall include, but not be limited to: A. Review, update and approval B. Identification of revision, status and changes C. Distribution and control D. Prevention of the unintended use of obsolete documents and application of suitable identification to them if they are retained for any use. E. Regulatory authority approval as required. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.2.3	The Operator shall have a process and procedure for controlling and disseminating externally acquired and used documents. An individual shall be appointed to ensure the currency and proper distribution of externally generated documents. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3 Control of Records		
2.3.1	Records shall be established and maintained to provide evidence of conformity to the requirements of the NAA and the Operator's policies and procedures. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.2	Records shall be legible, readily identifiable and retrievable. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.3	A procedure shall be established to define the controls needed for the identification, storage, protection, retrieval, retention time and disposition of records. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No Meets Std: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.4	The procedure shall define the method for controlling records that are created and/or retained by suppliers, vendors, or other outside agencies. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

2.3.5	<p>Records shall be available for review, inspection, and audit by regulatory authorities, and other entities in accordance with contract or regulatory requirements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.6	<p>The Operator shall have a system for backing up all electronic records and files.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.7	<p>The Operator shall have a system for protecting all paper records and files against loss and/or destruction.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0 Safety Management System		
3.1 Safety Policy		
3.1.1	<p>The Operator should have a Safety Management System appropriate to the size, scope and complexity of the Operator's operation.</p> <p style="text-align: center;">A. The Operator's SMS should ensure compliance with relevant regulatory standards in CFR Chapter 1 Title 14. 5.3(c)</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.1.2	<p>Top management should define the Operator's safety policy and communicate the expectations, objectives, commitments and accountabilities to all employees. The safety policy should:</p> <ul style="list-style-type: none"> • Reflect organizational commitment regarding safety, including the promotion of a positive safety culture • Include the Operator's safety objectives • Include a commitment by the Operator to fulfill the Operator's safety objectives • Include a clear statement about the provision of necessary resources for the implementation of the SMS • Include a policy for safety reporting that defines requirements for employee reporting of safety hazards or issues • Include a policy that defines unacceptable behaviors and conditions for disciplinary action • Include an emergency response plan that provides for the safe transition from normal to emergency operation in accordance with 14 CFR Part 5.27 or NAA equivalent • Be signed by the Accountable Executive 	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<ul style="list-style-type: none"> • Be documented and communicated, with visible endorsement, throughout the Operator's organization • Be regularly reviewed by the Accountable Executive to ensure the policy remains relevant to the Operator <p>A. Employees throughout the organization should demonstrate awareness of the Operator's system for employee reporting of safety hazards or issues.</p> <p>B. Employees throughout the organization should demonstrate awareness of unacceptable safety behavior and conditions for disciplinary action</p> <p>C. Employees throughout the organization should demonstrate awareness of their defined safety accountabilities and can relate safety objectives to their jobs.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.1.3	<p>Taking due account of the Operator's safety policy, the Operator should define safety objectives.</p> <p>A. The Operator's safety objectives should form the basis for safety performance monitoring and measurement.</p> <p>B. The Operator's safety objectives should reflect the Operator's commitment to maintain or continuously improve the overall effectiveness of the SMS.</p> <p>C. The Operator's safety objectives should be communicated throughout the organization</p> <p>D. The Operator's safety objectives should be periodically reviewed to ensure they remain relevant and appropriate to the Operator</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.1.4</p>	<p>The Operator should have a safety management plan that meets the safety objectives described in its safety policy.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.1.5</p>	<p>The Operator should specify the processes needed for the Safety Management System and the application of SMS processes throughout the operator's organization. The Operator should:</p> <p>A. Determine criteria and methods needed to ensure that both the operation and control of these processes are effective, and determine the interaction of SMS processes. <i>Remarks / Evidence:</i></p> <p>B. Ensure the availability of resources and information necessary to support the operations, monitoring, measuring, and analysis of these processes. <i>Remarks / Evidence:</i></p> <p>C. Implement actions necessary to achieve planned results and continual improvement of these processes. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.1.6</p>	<p>The Operator should identify an Accountable Executive and should define accountability for safety within the Operator's safety policy for the Accountable Executive. The Accountable Executive, irrespective of other functions, should satisfy the following:</p> <p>A. Is the final authority over operations authorized to be conducted by the Operator</p> <p>B. Controls the financial resources required for the operations to be conducted by the Operator</p> <p>C. Controls the human resources required for the Operator's operations (s).</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>D. Retains ultimate responsibility for the safety performance of the Operator's operations</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.1.7</p>	<p>Responsibilities of the Accountable Executive: The Accountable Executive should accomplish the following:</p> <p>A. Ensure that the SMS is properly implemented and performing in all areas of the Operator's organization</p> <p>B. Develop and sign the Operator's safety policy</p> <p>C. Communicate the safety policy throughout the Operator's organization</p> <p>D. Regularly review the Operator's safety policy to ensure it remains relevant and appropriate to the Operator</p> <p>E. Regularly review the safety performance of the Operator's organization and direct actions necessary to address substandard safety performance. The directives of the Accountable Executive should be tracked and reported upon at the next regular review or as required.</p> <p>F. The Accountable Executive should have the competencies required by the Operator to perform those functions required of him/her by the SMS processes (i.e. qualifications, training, knowledge, and experience.)</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.1.8</p>	<p>The Operator should define accountability for safety within the Operator's safety policy for management personnel, including a direct accountability for safety on the part of senior management. The accountable executive should designate sufficient management personnel who, on behalf of the accountable executive,</p> <p>A. Coordinate implementation, maintenance, and integration of the SMS throughout the Operator's organization.</p> <p>B. Facilitate hazard identification and safety risk analysis</p> <p>C. Monitor the effectiveness of safety risk controls</p> <p>D. Ensure safety promotion throughout the Operator's organization</p> <p>E. Regularly report to the accountable executive on the performance of the SMS and on any need for improvement.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.1.9</p>	<p>The Operator should identify the levels of management with the authority to make decisions regarding safety risk acceptance.</p> <p>A. The Operator should clearly define individuals or groups that are performing safety risk management process steps and accepting risk for the process area(s) being assessed.</p> <p>B. The Operators SMS should require that the person/team who performs safety evaluations within the Operator's organization reports directly to executive management to independently validate process area safety performance.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.1.10</p>	<p>The Operator should define accountability for safety within the Operator's safety policy for employees relative to the Operator's safety performance.</p> <p>A. The Operator should document and communicate safety responsibilities and authorities throughout the organization.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.1.11</p>	<p>The Operator should appoint a safety manager who is responsible for the implementation and maintenance of the SMS.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2 Safety Risk Management (SRM)</p>		
<p>3.2.1</p>	<p>The Operator should identify the critical characteristics of its systems and operational environment and apply this knowledge to the identification of hazards, risk decision-making, and the design of risk controls.</p> <p>A. Hazard identification should be based on a combination of reactive and proactive methods.</p> <p>B. The process of safety risk assessment and mitigation may include predictive methods of safety data analysis.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2.2</p>	<p>Safety Risk Management should be applied to initial system design and reviewed as changes occur to the organization's operational environment to maintain safe operations. To include:</p> <p>A. Implementation of new systems</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>B. Revision of existing systems</p> <p>C. Development of operational procedures</p> <p>D. Identification of hazards or ineffective risk controls through the safety assurance processes</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2.3</p>	<p>The Operator should analyze its operational environment to gain an understanding of critical design and performance factors, processes, and activities to identify hazards.</p> <p>A. The Operator should consider the following information when conducting system analysis:</p> <p>(1) Function and purpose of the system.</p> <p>(2) The system's operating environment.</p> <p>(3) An outline of the system's processes and procedures.</p> <p>(4) The personnel, equipment, and facilities necessary for operation of the system.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.2.4</p>	<p>Within the context of system analysis, the Operator should identify, describe, and document the characteristics of hazards in its operations that are likely to cause death, serious physical harm, or damage to equipment or property in sufficient detail to determine associated level of risk and risk acceptability.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2.5</p>	<p>The Operator should determine and analyze the severity and likelihood of potential events associated with identified hazards, and will identify risk factors associated with unacceptable levels of severity or likelihood.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2.6</p>	<p>The Operator should assess each risk associated with each identified hazard and define acceptance procedures and levels of management that can make safety risk acceptance decisions. The organization should define the decision-making processes to determine risk acceptability and requirements for risk controls.</p> <p>A. Operator personnel should actively participate in the Safety Risk Management process</p> <p>B. Individual(s) who have the authority to accept risk for the Operator's SRM process should be performing that responsibility.</p> <p>C. The Operator's SRM should include specific processes for conducting risk assessment that allows for determination of acceptable safety risk.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.2.7</p>	<p>The Operator should design and implement a risk control for each hazard for which there is an unacceptable risk, to reduce risk to acceptable levels. For each risk control the residual or substitute risk should be analyzed before implementation.</p> <p>A. For each risk control the residual or substitute risk should be analyzed before implementation.</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>B. Prior to SRM risk control implementation, the Operator should evaluate that the identified risk will be acceptable with the risk control applied.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3 Safety Assurance		
<p>3.3.1</p>	<p>The Operator should develop and maintain processes and systems to acquire data with respect to its operations, products and services in order to monitor the Operator's safety performance.</p> <p>A. These processes and systems should include a means to validate the effectiveness of safety risk controls.</p> <p>B. The Operator's safety performance should be verified in reference to the safety performance indicators and safety performance targets of the SMS in support of the Operator's safety objectives</p> <p>C. These processes and systems should include monitoring of operational processes, systems, products and services.</p> <p>D. These processes and systems should include monitoring of the operational environment to detect changes.</p> <p>(1) For each process area being assessed, the Operator should complete its planned audits on safety processes to gather data for use in assessing system performance.</p> <p>(2) The Operator's audit findings should clearly identify conformances and non-conformances.</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>(3) When non-conformances exist, the Operator should appropriately assign them and correct them.</p> <p>(4) The Operator should perform regularly scheduled internal audits of safety-related operational processes. These should include oversight audits as a means of managing and ensuring the safety and quality of outsourced products, systems or functions. These audits should be used in determining the conformance and effectiveness of safety-related controls that are currently in place.</p> <p>(5) The Operator should include the results of audits by oversight organizations (regulatory authority) and other organizations in its analysis of data.</p> <p>E. These processes and systems should include auditing of operational processes, products, services, and systems. Products and services received from contractors should be monitored to ensure conformity to the SMS. The Operator should have a process for ensuring the safety and quality of a product, system or function that is outsourced. The system of control and oversight should be defined or referenced in the Operator's SMS. External audit results of each contractor/vendor should be kept on file at the Operator's headquarters for a time established by the Operator (normally two years).</p> <p>F. These processes and systems should include evaluations of the SMS and operational processes and systems.</p> <p>G. These processes and systems should include investigations of incidents and accidents.</p> <p>(1) Personnel that conduct investigations of accidents or other Operator defined events should have the competencies (i.e. qualification, training, knowledge and experience) to perform their safety</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
--	---	--

	<p>management related duties and responsibilities.</p> <p>(2) Personnel that are qualified to conduct investigation of incidents, accidents or other Operator defined events should follow the Operator's process to collect and analyze investigatory data.</p> <p>(3) The Operator should identify potential new hazards or risk control failures. This process should include an evaluation as to the effectiveness of corrective actions implemented as a result of incidents and/or accidents.</p> <p>H. These processes and systems should include investigations of reports regarding potential non-compliance with regulatory standards or other safety risk controls established by the Operator.</p> <p>I. These processes and systems should include a confidential employee reporting system in which employees can report hazards, issues, concerns, occurrences, incidents, as well as propose solutions and safety improvements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.3.2</p>	<p>The Operator should develop and maintain processes that analyze the data acquired through the processes and systems identified under paragraph 3.3.1 of this Standard and any other relevant data with respect to its operations, products, and services.</p> <p>A. The Operator should analyze the quality of all relevant data outputs of continuous improvement actions at the appropriate levels of the organization.</p> <p>B. The Operator should review its data analysis to assess the performance and effectiveness of risk controls in the organization's operational processes and the SMS, and to identify the root causes of non-conformances and potential new hazards.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.3.3</p>	<p>The Operator should establish and implement processes to correct safety performance deficiencies identified in the assessments conducted under Standard 3.3.1.</p> <p>A. The operator should have a process to ensure that the Accountable Executive directs actions necessary to address substandard safety performance in the system.</p> <p>B. The Operator should have clear documentation that members of management contribute mitigation strategies to correct negative safety trends or potential non-conformance within the system.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.3.4</p>	<p>The Operator should conduct assessments of its safety performance against its safety objectives.</p> <p>A. Safety Performance Assessments should ensure compliance with the safety risk controls established by the Operator.</p> <p>B. Safety Performance Assessments should evaluate the performance of the SMS.</p> <p>C. Safety Performance Assessments should evaluate the effectiveness of the safety risk controls and identify any ineffective controls.</p> <p>(1) Corrective actions resulting from the investigatory process should be evaluated for effectiveness (i.e., determine whether system deficiencies and ineffective controls have been corrected to improve the safety performance of the organization).</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>(2) The Operator's processes and procedures should ensure that for ineffective controls or hazards identified during safety performance assessments, safety risk management is applied.</p> <p>D. Safety Performance Assessments should identify changes in the operational environment that may introduce new hazards.</p> <p>E. Safety Performance Assessments should identify new hazards.</p> <p>F. The Operator's evaluation reports should assess whether the organization is meeting its defined safety objectives.</p> <p>G. The Operator should monitor and assess its SMS processes to maintain or continuously improve the overall effectiveness of the SMS.</p> <p>H. These assessments should include reviews by the Accountable Executive.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3.5	<p>The Operator should use the outputs of the Management Review to continually improve the effectiveness of the SMS and of safety risk controls through the use of the safety and quality policies, objectives, audit and evaluation results, analysis of data, and corrective and preventive actions.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3.6	<p>The Operator should develop and maintain a process to identify changes within the organization or its operational environment which may affect established processes and services and to describe the arrangements to assure safety performance before implementing changes.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.4 Safety Promotion		
3.4.1	<p>The Operator should have a process to develop and maintain a means of communicating safety information that ensures that employees are aware of the SMS policies, processes and tools relevant to their positions and conveys hazard information relevant to</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

	the employee's responsibilities. <i>Remarks / Evidence:</i>	N/A: <input type="checkbox"/>
3.4.2	The Operator should have a process to develop and maintain a means of communicating safety information that explains why safety actions have been taken and why safety procedures are introduced or changed. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.4.3	The Operator should provide initial and recurrent training to the Accountable Executive, members of management, and employees to ensure that all individuals attain and maintain the competencies necessary to perform their duties relevant to the operation and performance of the SMS. A. The Operator should have documentation showing the individuals or group who complete the organizational safety risk management related process steps have the competencies (i.e., qualification, training, knowledge, and experience) to properly perform those activities. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/> Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.4.4	<i>Reserved.</i>	
3.5 SMS Documentation and Recordkeeping		
3.5.1	The Operator should have a process to develop and maintain SMS documentation, in the form of an SMS Manual. A. The Operator's SMS Manual should describe the Operator's Safety Policy and Objectives. B. The Operator's SMS Manual should describe SMS requirements. C. The Operator's SMS Manual should describe the Operator's SMS Processes and procedures, including the Operator's Safety Risk Management and Safety Assurance processes and procedures.	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/> Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/> Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

	<p>D. The Operator's SMS Manual should describe the accountability, responsibilities and authorities for SMS processes and procedures.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>3.5.2</p>	<p>The Operator should develop and maintain SMS operations records as part of its SMS documentation.</p> <p>A. The Operator should maintain records of outputs of safety risk management processes. Such records must be retained for as long as the control remains relevant to the operation. These records include:</p> <p>(i) Records of identified hazards or no hazard risk acceptance;</p> <p>(ii) Records of associated risks with identified hazards, as applicable;</p> <p>(iii) Records of analysis for each risk, as applicable; and</p> <p>(iv) Records of new risk controls approved to mitigate unacceptable risks, as applicable.</p> <p>B. The Operator should maintain records of outputs of safety assurance processes. Such records must be retained for a minimum of 5 years.</p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>C. The Operator should maintain a record of all SMS training provided for each individual. Such records must be retained for as long as the individual is employed by the certificate holder.</p> <p>D. The Operator should retain records of all communications described in 3.5.2 for a minimum of 24 consecutive calendar months.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>4.0 Quality Assurance (NOTE: This section applies to processes and procedures within each department throughout the entire organization.)</p>		
<p>4.1 Quality Systems</p>		
<p>4.1.1</p>	<p>The Operator should have a quality management process that defines and establishes the Operator's quality policy and objectives.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>4.1.2</p>	<p>The Operator's quality assurance process should encompass the following elements, as applicable:</p> <p>A. Monitoring and measurement of service providers; <i>Remarks / Evidence:</i></p> <p>B. Inspection and testing methods; <i>Remarks / Evidence:</i></p> <p>C. Monitoring of equipment including calibration and measurement; <i>Remarks / Evidence:</i></p> <p>D. Internal audits (self-audits as required by this Program) and external audits (third-party audits); <i>Remarks / Evidence:</i></p> <p>E. A system for collecting and monitoring corrective and preventive action(s); <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>F. The use of appropriate statistical analysis, when required, to measure the effectiveness of the process; <i>Remarks / Evidence:</i></p> <p>G. Appropriate training provided to designated employees on the elements of the quality assurance program to include their roles and responsibilities; <i>Remarks / Evidence:</i></p> <p>H. Systems for reporting / collecting from employees' information relative to quality-related errors occurring in the workplace; <i>Remarks / Evidence:</i></p> <p>I. Defined methods for analyzing process-related errors for root-cause factors that provide input to the corrective action reporting process. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.1.3	<p>The quality systems should include, but not be limited to:</p> <p>A. Basic process control of the elements that make up that section B. Documentation of process control for the elements of that section C. Internal audit system of those elements D. External evaluation of vendors / suppliers associated with those elements E. Measurement of processes for reliability and effectiveness F. Independent audit (third party) of the elements of each section <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.2 Internal Evaluation Program		
4.2.1	<p>The Operator shall perform regularly scheduled internal audits of its operational processes. The evaluation process shall be an ongoing function that identifies deficiencies, develops corrective and preventive actions, and performs follow-up evaluations that measure the effectiveness of interventions. The internal audit cycle should never exceed 24 months. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

4.2.2	<p>The internal evaluation process should be an independent function that has straight-line reporting responsibility to senior management and/or the Safety Department.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.3 External Evaluation Program		
4.3.1	<p>The Operator shall have independent third-party audits conducted in accordance with the requirements of this program.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.0 Emergency / Contingency Management		
5.1 Planning		
5.1.1	<p>The Operator shall develop and implement procedures that it will follow in the event of an accident or incident or operational emergency. The emergency response plan, or process, shall address, at a minimum, the following events.</p> <p>A. Major or Catastrophic Aircraft Accident 1) Substantial damage to the aircraft, or 2) Serious or fatal injury to one or more persons, or 3) Substantial damage to property. <i>Remarks / Evidence:</i></p> <p>B. Missing aircraft <i>Remarks / Evidence:</i></p> <p>C. Emergency airborne or potentially hazardous situation <i>Remarks / Evidence:</i></p> <p>D. Hijacking <i>Remarks / Evidence:</i></p> <p>E. Bomb threat/terrorist act <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

	<p>F. Reportable incidents to the appropriate agency e.g., NTSB <i>Remarks / Evidence:</i></p> <p>G. Facility Accident/Incident 1)Substantial damage to Operator property, loss of life or serious injury caused by an event not covered by A, F, or H of this section <i>Remarks / Evidence:</i></p> <p>H. Aircraft damaged 1)Minor damage on the ground, no loss of life, minor injury to person(s) <i>Remarks / Evidence:</i></p> <p>I. Environmental event <i>Remarks / Evidence:</i></p> <p>J. Health-related event <i>Remarks / Evidence:</i></p> <p>K. Employee welfare following a major event <i>Remarks / Evidence:</i></p> <p>L. Business Continuity/Recovery Plan <i>Remarks / Evidence:</i></p> <p>M. Managing events that occur outside of its country. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
<p>5.1.2</p>	<p>The Emergency Response Plan must be developed as part of the Safety Policy. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

5.1.3	<p>The Emergency Response Plan must be approved by the Accountable Executive.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.1.4	<p>The Emergency Response Plan must include the delegation of emergency authority throughout the organization.</p> <p>A. The Operator's Emergency Response Plan must clearly identify "proxies" and the assignment and limitations of their authority to perform safety management responsibilities when select individuals are moved from daily into emergency operations.</p> <p>B. The identified proxy must understand their defined limitations and authority as documented by the Operator for instances where emergency authority is delegated?</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.1.5	<p>The Emergency Response Plan must include assignment of employee responsibilities during an emergency.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.1.6	<p>The Emergency Response Plan must include coordination of the Operator's emergency response plan with the emergency response plan of other organizations the Operator must interface with during the provision of the Operator's services.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.2 Preparation		
5.2.1	<p>The Operator shall conduct periodic training and orientation on the Operator's emergency response plan for all employees. As a minimum, those employees that are directly involved in the management of the emergency response plan shall attend the training and orientation. During the refresher training all procedures shall be reviewed for currency and applicability.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>5.2.2</p>	<p>The Operator shall conduct an emergency response exercise, on at least an annual basis, in order to evaluate the effectiveness of the Operator's emergency response plan and to take appropriate corrective action to improve the Operator's emergency response readiness. The results of the annual emergency response exercise shall be documented in the Management Review required by this Standard.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
--------------	---	--

Section 2: Flight Operations		
1.0 Flight Operations Organization and Management		
1.1 Air Operator Certificate (AOC) and Government Supervision		
1.1.1	The Operator shall have a current copy of its Air Operator Certificate (AOC) available for inspection. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.1.2	The Operator shall have available a list of the National Aviation Authority (NAA) personnel responsible for the oversight of its operation. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.2 Organization Structure and Responsibilities / General Management		
1.2.1	The Operator shall display in its Operations Manual (OM) an Operator organizational chart. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.2.2	The Operator shall list in its OM all management personnel required by the NAA. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.2.3	The Operator shall list in its OM the duties and responsibilities of the management personnel required by the NAA. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.2.4	The Operator shall list in its OM or otherwise document the duties and responsibilities of additional managers and supervisors. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.3 Reserved.		
2.0 Line Operations		
2.1 Management of Policies and Procedures		
2.1.1	All policies, instructions, and procedures relevant to the flight crew and the overall operation of the mission shall be described in the appropriate section of the Operations Manual or in other controlled documents. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

2.2 Flight / Cabin Crew Responsibilities		
2.2.1	The Operator's OM shall document line-oriented procedures and responsibilities for the PIC. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.2.2	The Operator's OM shall document line-oriented procedures and responsibilities for the SIC. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.2.3	The Operator's OM shall document line-oriented procedures and responsibilities for any Flight Attendant/Cabin Server. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3 Flight / Cabin Crew Composition, Qualifications, Flight Time Limitations and Scheduling		
2.3.1	The Operator shall have procedures to maintain Flight and Duty Time and Rest records. These records shall be maintained in accordance with NAA requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.2	The Operator shall have procedures to prevent Flight and Duty Time non-conformity. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.3	The Operator shall document training and other non-flight duty time in the Flight and Duty records. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.4	The Operator shall have procedures to ensure that flight crews receive the required rest periods per calendar quarter. This policy shall contain provisions for additional rest for flight crews returning from a flight that has crossed multiple time zones and shall consider the effects of circadian rhythm disruptions. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.5	The Operator shall document fatigue countermeasures to ensure adequate crewmember rest and alertness when operating across multiple time zones. This policy shall contain provisions for additional rest for flight crews returning from a flight that has crossed multiple time zones and shall consider the effects of circadian rhythm disruptions. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.3.6	The Operator shall have procedures to ensure that only trained, qualified and authorized crewmembers are assigned to each flight. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

2.3.7	<p>The Operator shall define minimum flight crew pairing standards, and develop the procedures to ensure that no crew is assigned to a flight that does not meet these standards.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.8	<p>The Operator shall specify in the crewmember's qualification records, the number of aircraft types, and aircraft-type derivations, that a flight crewmember may operate. This shall be limited to two (2) aircraft types (all variants within aircraft types).</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.9	<p>The Operator shall document its policy regarding the use of part-time or contract crewmembers, including requirements to receive all ground and flight training as required by the training manual, responsibility to report all commercial flight time, and number of commercial operators for which the pilot may be permitted to serve.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.10	<p>The Operator shall document procedures to ensure that adequate and NAA-approved rest facilities are provided as required by the NAA onboard the aircraft when any augmented crewmember is utilized.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.11	Reserved.	
2.4 Flight Preparation and Preflight		
2.4.1	<p>The Operator shall document the duties and responsibilities of each flight crewmember during flight preparation and planning, including the use of a CFIT checklist for mountainous airport operations.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.4.2	<p>The Operator shall document procedures for flight crew in the event an aircraft discrepancy is discovered during preflight. The procedure must include a system of notification, recording of discrepancies, MEL procedures, and how to properly sign off required maintenance. There shall be a documented policy regarding the determination that a discrepancy deferred in accordance with the MEL does not represent a safety-of-flight issue.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.5 Ground Handling		
2.5.1	<p>The Operator shall have ground-handling procedures to prevent personal injury and/or aircraft or property damage.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

2.5.2	<p>The Operator shall have procedures for flight crew to supervise aircraft ground-handling personnel and procedures, including fueling. A flight crewmember shall ensure that the fuel truck is equipped with the emergency equipment required by the NAA and is properly grounded. This policy should include a statement that no one other than company personnel shall have access to the aircraft except in the presence of a member of the flight crew.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.5.3	<p>The Operator shall have procedures to ensure proper fueling procedures and fuel grades are followed.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.5.4	<p>The Operator shall have procedures for flight crew to ensure the operation of line vehicles, and other vehicles operating, or parking near aircraft, to prevent personal injury and/or aircraft, or property damage.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.6 Airspace Rules		
2.6.1	<p>The Operator shall have a flight release process and procedures to ensure each aircraft meets the airspace operational equipment requirements in accordance with the rules under which each flight is to be conducted.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No Meets Std: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.7 In-Flight		
2.7.1	<p>The Operator shall provide each crewmember with a NAA-accepted or -approved (as applicable) normal operations checklist. The checklist shall encompass procedures and checks related to aircraft operation from before-engine start through engine shutdown and securing of the aircraft.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.7.2	<p>The Operator shall provide each crewmember with aircraft-specific and operator-specific standard operating procedures. At a minimum, the manual shall have references to aircraft-specific flow patterns, standard call-outs, the use of normal and emergency checklists, and stabilized approach standards.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.7.3	<p>The Operator shall have a policy regarding PIC assignment and which seat the SIC may occupy and these seat assignments may only be established by a company manager.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.8 Non-Normal / Abnormal Operations, Handling of Incidents / Accidents		
2.8.1	<p>The Operator shall provide each crewmember with NAA-accepted or -approved abnormal and emergency checklists.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

3.0 Flight Crew Training and Examination		
3.1 Organization Structure and Management General		
3.1.1	The Operator and/or NAA-approved training provider shall document all qualified instructors and list the subjects for and aircraft in which they are approved to provide training. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.1.2	The Operator and/or NAA-approved training provider shall document all qualified Check Airmen and list the aircraft and/or simulators in which they are approved and the checks that may be accomplished. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.1.3	The Operator shall utilize a Level C or above simulator (when available) at least annually for all flight training and checking other than a line check or a line quality assurance program. Crewmembers qualified in more than one aircraft shall attend simulator training for each aircraft at least annually. See Guidance if simulator is not available. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.2 Instructors, Examiners, Line Check Airmen		
3.2.1	The Operator and/or NAA-approved training provider shall document that all instructors and Check Airmen have received training relative to their duties and responsibilities. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.2.2	The Operator and/or NAA-approved training provider shall document all ground and flight training administered by instructors and Check Airmen in their respective Flight and Duty records. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.3 Examination / Certification		
3.3.1	The Operator shall have a procedure to ensure that each crewmember has received and successfully completed the required examinations to ensure qualification. These records shall include any failures and the corrective action taken to ensure the individual is qualified. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.3.2	The Operator shall have a procedure to ensure that each crewmember has completed the required training and testing events before assignment to flight duties. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.4 Facilities, Training Aids and Equipment		
3.4.1	The Operator and/or NAA-approved training provider shall have a designated room or facilities in which to conduct ground training, as appropriate. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

3.4.2	<p>The Operator and/or NAA-approved training provider shall ensure that any technical training aids and equipment utilized are adequately maintained, current and are approved/authorized by the regulator/operator. The currency of the device shall be documented and available for inspection.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.5 Ground Training Syllabus		
3.5.1	<p>The Operator's ground-training manual and program shall be approved by the NAA.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.5.2	<p>The Operator shall document in its Internal Evaluation Program its procedures for oversight of a training provider's ground training program.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.5.3	<p>The Operator shall have procedures to ensure flight crewmembers are trained in the airspace and equipment requirements applicable to their areas of operation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.5.4	<p>The Operator shall include in its NAA-approved ground training program instruction in Crew Resource Management.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.6 Simulator and / or Airplane Training Syllabus		
3.6.1	<p>The Operator shall ensure that its flight-training manual has been approved by the NAA.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.6.2	<p>The Operator shall document in its Internal Evaluation Program its procedures for oversight of a training provider's flight training program. This documentation shall include provisions for review of completed individual training accomplishments as well as provisions for on-site inspection/oversight of training in progress.</p> <p>A. The Operator shall have a process to ensure any training conducted by a training provider is conducted in accordance with the Operator's approved training program.</p> <p>B. The Operator shall conduct an evaluation of the training provider at least every two years or as required by the NAA. All discrepancies found during the evaluation shall be fully documented and the provider shall provide, in writing, their proposed resolution of these discrepancies.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.6.3	<p>The Operator shall include in its NAA-approved training program instruction and evaluation in Crew Resource Management during simulator and flight training.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

3.6.4	Reserved.	
3.6.5	Reserved.	
4.0 Technical Operations		
4.1 Airplane Performance		
4.1.1	<p>The Operator shall have procedures to ensure that aircraft are not dispatched to or from any airport that is beyond the performance capabilities of the aircraft in accordance with the rules under which the flight is being conducted. If a computer-based program is not used, scheduling/dispatch personnel shall have received documented training regarding minimum performance standards for each type of aircraft operated.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.1.2	<p>The Operator shall have procedures to ensure that flight crewmembers are trained in calculating aircraft performance. This should include performance certification standards and requirements. The performance items shall include the calculation of takeoff and landing distances, weight limitations on takeoff and landing, and calculating gradients for obstacle departure procedures.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.2 Navigation and Facilities		
4.2.1	<p>The Operator shall have procedures to ensure that an aircraft meets departure, en route and terminal navigation requirements applicable to their operating authority. Flight crews and dispatch personnel shall receive training regarding the navigation equipment installed in each aircraft and the Operator's NAA-authorized areas of operation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.3 Certification and Equipment Requirements		
4.3.1	<p>The Operator shall have procedures to ensure that all aircraft meet the type certification and equipment requirements of the applicable NAA rules under which the flight will be conducted.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.3.2	<p>The Operator shall have procedures to ensure that flight crewmembers and dispatch personnel are aware of any MEL/CDL deferrals and to what extent they may affect flight operations. Flight crewmembers and dispatch personnel must be trained to recognize that deferred items could affect the safety of a particular flight operation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

4.3.3	The Operator shall have procedures to ensure that the required survival equipment is onboard the aircraft and is within the applicable inspection cycle, prior to flight release authorization, for the intended flight operation. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.3.4	Reserved.	
5.0 International Operations		
5.1 Documentation		
5.1.1	The Operator shall document its authorized areas of operation in its manual system. This information shall be available to flight crews and those with authority to initiate or otherwise control the Operator's flights. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.1.2	The Operator shall have current reference library sufficient to cover the authorized areas of operation available to flight crews and those with authority to initiate or control flights. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.1.3	The Operator shall have procedures to retain all international flight documentation in accordance with its policy or applicable NAA rules. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.1.4	The Operator shall have procedures to ensure all international flight documentation conforms to applicable NAA rules. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.1.5	The Operator shall have international procedures training for crewmembers, appropriate to the assignment of those crewmembers in international operations. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.1.6	The Operator shall have processes and procedures regarding the use of third-party flight planning and/or handling services including the scope of the services provided and means to identify and correct any deficiencies in these services. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.2 Compliance with International Requirements		
5.2.1	The Operator shall document its processes and procedures to comply with applicable State(s) aviation regulatory requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.2.2	The Operator shall document its processes and procedures to comply with applicable State(s) immigration requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No

		N/A: <input type="checkbox"/>
5.2.3	The Operator shall document its processes and procedures to comply with applicable State(s) security requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.2.4	The Operator shall document its processes and procedures to comply with applicable State(s) agriculture requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.2.5	The Operator shall document its processes and procedures regarding the international transportation of minors. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.3 Overwater Operations		
5.3.1	The Operator shall have a documented policy that prohibits the release of a flight if preflight planning indicates it will have a “wet footprint” considering the possible loss of one powerplant or a loss of pressurization. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.3.2	The Operator shall have documented processes and procedures to ensure compliance with NAA ETOPS operations requirements, if authorized. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

Section 3: Operational Control		
1.0 Organization and Management		
1.1 Management System		
1.1.1	<p>The Operator shall have processes and procedures for the exercise of control over the initiation, conduct, termination and continuance of a flight, according to the authorizations and regulatory guidance of the NAA. Included in these procedures shall be the name of the individual responsible for the operational control of each flight.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.2	<p>The Operator shall list in the appropriate NAA authorizations, manual or manual system those individuals having operational control authority.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.3	<p>The Operator shall have processes and procedures to be followed by designated personnel to ensure operational control within the system. Operational control procedures shall comply with the applicable regulations and may be exercised through flight plans, flight following, or flight locating. Individuals exercising operational control must be properly trained.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.4	<p>The Operator shall have processes and procedures to ensure the appropriate management personnel coordinate and communicate on matters involving operational control. Records of these communications shall be maintained.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.5	<p>The Operator shall ensure all personnel with authority and responsibility for the exercise and/or monitoring of operational control have ready access to appropriate safety information.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.6	<p>The Operator shall have processes and procedures to ensure oversight of every satellite base from which it operates.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Manuals and Documentation		
2.0.1	<p>The Operator shall have a company manual system that meets the requirements of the NAA and this Standard, which describes its flight locating system. If a flight locating system is not utilized, the OM must contain direction to flight crews in filing of NAA flight plan.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

3.0 Quality Assurance		
3.0.1	The Operator shall have, as part of an Internal Evaluation Program, a process of quality assurance of operational control procedures and processes. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0 Training Programs		
4.0.1	The Operator must establish a training program, in accordance with the NAA, for any person to whom it will grant the ability to perform operational control tasks, within the limits of the Operator's documented processes and procedures, on behalf of the Operator. This training shall consist of both initial and recurrent training. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0 Hiring — Qualifications — Licensing — Certification		
5.0.1	The Operator shall have a documented policy regarding the qualifications of dispatch personnel including non-certificated flight followers. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
6.0 Records Retention		
6.0.1	The Operator shall have a procedure for retaining records related to its exercise of operational control. Records shall be maintained in accordance with NAA requirements. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

Section 4: Aircraft Maintenance		
1.0 Organization and Management System		
1.1 Management		
1.1.1	<p>The Operator shall have a maintenance program administered by a Maintenance Manager who meets the requirements of and has been approved by the NAA, as applicable. The requirements of the maintenance program shall be defined, documented, and communicated throughout the company via controlled media.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.2	<p>The Maintenance Manager shall have responsibility and accountability for the effectiveness of the maintenance program. This individual shall have the authority over and control of the resources required to implement and enforce policies and procedures related to maintenance.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.3	<p>As required by the NAA, the operator shall appoint a quality assurance or chief inspector function within the maintenance department. This position shall be accepted or approved as required by the NAA. The quality assurance or chief inspector function shall:</p> <ul style="list-style-type: none"> A. Have responsibility over the inspection aspects of the maintenance program. B. Be listed in the mandatory manuals, or documents according to the NAA requirements. C. Be established at the appropriate organizational level to avoid conflicts of interest. <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.1.4	Reserved.	
1.2 Organization		
1.2.1	<p>The operator either shall possess or have procedures to acquire the necessary tools, equipment, facilities, and personnel to accomplish the work performed in accordance with its accepted or approved maintenance and inspection programs.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

1.2.2	<p>The maintenance organization shall have a system for ensuring the quality of any maintenance that is outsourced. This system shall ensure contractor and sub-contractor compliance with NAA, the Operator and OEM requirements are met. The system of control and oversight shall be defined in appropriate company documentation. This shall include documented audit procedures to initially qualify a vendor and a system of periodic reviews to ensure the vendor complies with applicable documentation and processes of the Operator. The Operator shall ensure a documented maintenance agreement has been executed with each external organization that performs maintenance or inspections functions for the Operator. This agreement, at a minimum, shall:</p> <p>A. Specify all maintenance and inspection requirement and define all tasks to be performed.</p> <p>B. Comply with the procedures governing maintenance arrangements as specified in the Operator's Maintenance Manual.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
1.2.3	<p>The organization shall include oversight audits as a means of ensuring the safety, quality, and compliance with OEM, the Operator and NAA requirements of outsourced functions and products. The Operator shall maintain the audit results of each contractor, sub-contractor and vendor at the Operator's headquarters for an established time period (normally two years).</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
1.3 Maintenance Personnel		
1.3.1	<p>The Operator shall have a process to identify, document, and track those individuals with Airworthiness or Return to Service Authority, to ensure they are properly trained and certificated to meet NAA requirements. This process shall track and revise personnel ratings and certificates as needed to ensure personnel remain in compliance with the Airworthiness and Return to Service Authority granted by the NAA.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
1.3.2	<p>The Operator shall have a process to define, document, and ensure that all persons of a supervisory or management position are properly certificated in accordance with NAA requirements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
1.3.3	<p>The Operator shall have a process to ensure company maintenance technicians performing maintenance, preventive maintenance or alterations are properly certificated in accordance with NAA requirements for the type of work they perform. If the operator utilizes non-certificated technicians, there shall be procedures for the qualification, supervision, and surveillance of any maintenance, preventive maintenance, or alterations performed by these technicians.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>

1.4 Inspection Personnel		
1.4.1	<p>The Operator shall have processes and procedures to ensure all persons performing required inspections are certificated in accordance with NAA regulations, and are properly trained and qualified for the type inspection(s) they perform. The Operator shall maintain a current list, including name, occupational title, and inspections authorized to complete, of persons who have been trained, qualified, and authorized to conduct required inspections. Each external organization with which it arranges to perform these inspections shall provide a similar list.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.4.2	<p>The Operator shall have procedures to prevent Required Inspection Item (RII) inspectors from inspecting their own work or specific work on which they have conducted training.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Documentation / Records / Manuals		
2.1 General		
2.1.1	<p>The Operator shall have processes and procedures to ensure all aircraft maintenance records are in compliance with NAA requirements. The Operator shall have a process to ensure, when a maintenance organization used by the Operator terminates its relationship with the Operator, the maintenance organization returns all retained maintenance records to the Operator.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.1.2	<p>The Operator shall have a process to ensure compliance with all applicable Airworthiness Directives. This process shall identify the individual(s) responsible for reviewing the Airworthiness Directives for applicability, and the individual(s) responsible for making the final decision regarding the inclusion and scheduling of the associated work.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.1.3	<p>The Operator shall have process and procedures to review Service Bulletins and Letters published by the aircraft, engine, propeller, and appliance manufacturer. This process shall designate an individual responsible for reviewing the Service Bulletins and Letters for applicability and making the final decision regarding the inclusion and scheduling of the associated work.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.1.4	<p>The Operator shall have processes and procedures for reporting failures, malfunctions and defects to the NAA, as required.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

2.1.5	<p>The Operator shall have processes and procedures to ensure both MEL- and non-MEL deferred items are tracked and controlled. These shall include at a minimum:</p> <ol style="list-style-type: none"> 1. A master list of items either MEL- or non-MEL deferred on each aircraft. 2. The time limitations for each MEL- or non-MEL deferred item. 3. A projected repair time for each item. 4. Procedures for extending time limits for MEL-deferred maintenance. 5. Procedures for extending non-MEL deferred items. <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.2 Aircraft and Certification Documents		
2.2.1	<p>For each aircraft type, the operator shall maintain a current copy of the appropriate Type Certificate Data Sheets (TCDS), or demonstrate the ability to access the appropriate TCDS from the NAA. The Operator shall also maintain Supplemental Type Certificate (STC) Data Sheets for any installed appliance, equipment, or aircraft configuration.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.2.2	<p>The Operator shall have a documented process to ensure the current documents are onboard each aircraft prior to flight operation:</p> <ol style="list-style-type: none"> A. Aircraft registration B. Radio station license (if applicable) C. Certificate of Airworthiness D. Operating handbook E. Weight and balance information <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3 Airframe / Engine / Propeller / Appliance Records (Log Books)		
2.3.1	<p>The Operator shall have processes and procedures to ensure Airframe, Engine, Propeller, and Appliance Records are properly completed, maintained, and retrievable in accordance with NAA requirements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.2	<p>The Operator shall maintain records of all major repairs and alterations, to include any instructions for continued airworthiness, in accordance with the requirements of the NAA, and the control of records and control of documents procedures. These records shall include maintaining AFM supplements for STC-added equipment.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.3.3	<i>Reserved.</i>	

2.4 Manufacturers Maintenance Manuals		
2.4.1	<p>The Operator shall have processes and procedures to ensure that maintenance manuals recommended by the aircraft, engine, propeller, and appliance manufacturer are available and current.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
3.0 Materials, Housing and Facilities		
3.1 Materials Management		
3.1.1	<p>The Operator shall have processes and procedures in place for materials management that meet the requirements of the state NAA, and other agencies. These processes and procedures shall be described in the required manuals, and as a minimum shall incorporate the following requirements:</p> <p>A. Audits and an approval process for all suppliers of parts and materials to ensure parts and materials meet NAA airworthiness standards as required.</p> <p><i>Remarks / Evidence:</i></p> <p>B. Receiving inspection to ensure proper receipt of part/material/service ordered and compliant with NAA airworthiness standards. The process shall also include developing qualification standards for Receiving Inspectors.</p> <p><i>Remarks / Evidence:</i></p> <p>C. Proper traceability acceptable, to the state NAA requirements, is maintained for all parts. Repairable and rotatable parts shall have proper certification attached. Expendable parts shall be marked with the purchase order, or equivalent, that it was received with or be traceable through other internal processes back to the manufacturer. All expendable parts shall be tracked for shelf-life expiration and a process developed to ensure these parts are removed in a timely manner.</p> <p><i>Remarks / Evidence:</i></p> <p>D. Suspected Un-Approved Parts Program that incorporates initial and recurrent training of parts and maintenance personnel and includes a system of reviewing approved vendors, who may be the subject of a SUPS notification.</p> <p><i>Remarks / Evidence:</i></p> <p>E. Proper parts and materials storage according to environmental conditions, packaged properly, segregated i.e. customer parts, and inventory controlled. Suspected un-approved parts stored in a separate secure quarantined area.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>

	<p>F. Proper Shelf-Life inspection program for consumables and synthetic and rubber parts or materials as may be required by the individual material, or part manufacturer or aircraft, engine, propeller, or appliance manufacturer. <i>Remarks / Evidence:</i></p> <p>G. Proper tagging and or certifications to identify serviceable parts, materials, reparable parts, rejected parts and materials, and parts removed from an aircraft. This shall include a procedure to ensure defective expendable parts, such as hoses and common hardware, are properly discarded and/or destroyed. <i>Remarks / Evidence:</i></p> <p>H. Procedures for handling, quarantine, destruction, and disposal of rejected parts and materials in accordance with applicable NAA requirements and other regulatory agencies. The Operator shall develop a training program to ensure only trained and qualified persons are authorized to quarantine, destroy or dispose of rejected parts and materials. The Operator shall have a process that records the part number and serial number of a scrapped part and the date the part was scrapped. This process shall also include a method to track this information for a time period as required by the NAA. <i>Remarks / Evidence:</i></p> <p>I. Proper shipping and packaging of aircraft parts and materials to preclude damage from shipping. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.2 Dangerous Goods / Hazardous Materials)		
3.2.1	<p>The Operator shall have processes and procedures to ensure federal and local requirements for the proper storage and disposal of Dangerous Goods / Hazardous Materials and waste. (Note: This Standard applies to storage and disposal of DG/Hazmat – NOT transportation of these goods.) <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.2.2	<p>The Operator shall have an acceptable training program that encompasses the required elements of the state NAA, Environmental Agencies, and shall include:</p> <p>A. Dangerous Goods / Hazardous Materials recognition, handling, and safety for all employees who do, or may come in contact with Dangerous Goods / Hazardous Materials. <i>Remarks / Evidence:</i></p> <p>B. Training in storage, disposal and shipping of Dangerous Goods / Hazardous Materials for all applicable maintenance, parts, and ground support personnel for Air Carriers “Will Transport / Will Not Transport” Dangerous Goods/Hazardous Material policy. <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

3.2.3	<p>The Operator shall ensure the availability and currency of Safety Data Sheets (SDS) to all personnel and shall provide the related safety equipment for handling those materials.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3 Facilities / Equipment		
3.3.1	<p>The operator shall have processes to ensure the maintenance facility is adequate for the level of work performed. (See Guidance for evaluating.)</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3.2	<p>The operator shall have processes and procedures to ensure compliance with all applicable environmental, health and safety standards, such as EPA, OSHA, or other regulatory agencies. (See Guidance for evaluating.)</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.3.3	<p>The operator shall have a documented process to ensure Measuring and Test Equipment (MTE), tooling, and equipment is calibrated as required to acceptable industry standards, properly stored, maintained and serviced. There shall be a process to ensure non-owned (mechanic-owned) MTE, tooling, and equipment used is properly calibrated, stored, maintained and serviced. It shall include a list of all tools and equipment by part number and serial number required to be calibrated, and a policy that includes identifying and quarantining damaged or broken tools or pieces of equipment to prevent their continued use.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.0 Maintenance Programs		
4.0.1	<p>The operator shall have a maintenance and inspection program for each type of aircraft that meets the minimum requirements of the NAA, and shall be either accepted or approved by the NAA.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
4.0.2	<p>The Operator shall have an active analysis and surveillance program, as required by the NAA, for any aircraft it operates that is type-certificated for ten or more passenger seats. Any required auditors, to include vendor-assigned auditors, shall be trained in accordance with the processes and procedures in the Operator's Analysis and Surveillance Training Program. The Operator shall have a documented Continuing Analysis and Surveillance (CAS) training program that covers CAS regulations and guidance, and the applicable NAA rules and regulations. The training program shall list all approved personnel, both operator and vendor-employed, and a record of each individual's training and currency. All CAS-approved auditors shall be trained in accordance with the training program on at least an annual basis.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

4.0.3	<p>The operator shall have an aging aircraft inspection program that conforms to the regulations of the NAA and the guidance provided by the applicable manufacturer. A description of the program shall be contained in the company inspection program, Maintenance Manual or other appropriate documentation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
5.0 Training Programs		
5.0.1	<p>The Operator shall have an NAA-approved (as applicable) initial and recurrent training program for all maintenance personnel at least every two years. It shall include training on both the aircraft and support equipment.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

Section 5: Cabin Operations		
1.0 Organization and Management		
1.0.1	The Operator shall have policies and procedures regarding the assignment of cabin personnel. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.0.2	The Operator shall have policies and procedures regarding the duties, responsibilities, limitations and authority of cabin personnel managers. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.0.3	The Operator shall have policies and procedures regarding the responsibilities, authority, and interfaces between the pilot-in-command, second-in-command, and assigned cabin personnel. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0 Manuals and Documentation		
2.0.1	The Operator shall have policies and procedures regarding the duties, responsibilities, limitations, and other requirements for cabin personnel. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0.2	The Operator shall have policies and procedures regarding the use of flight attendants and cabin servers to include duty time limitations and rest requirements, even if not required by regulation. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.0 Training Programs		
3.0.1	The Operator shall have a training program for its cabin personnel, including medical and security personnel. This training shall be appropriate to the specific aircraft type and duty assignment of these personnel and shall include operational, safety and security procedures. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.0.2	Reserved.	
3.0.3	Pilots and cabin personnel must have completed training on the safe handling and storage of food. The training shall include instruction on proper temperature control, storage procedures, and techniques for packaging and securing food and beverages in the aircraft. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.0.4	Pilots and cabin personnel must have completed training on the use of any available medical equipment carried or installed in the aircraft, including the administration of CPR and first aid. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.0.5	Reserved.	

3.0.6	Reserved.	
4.0 Flight Attendants		
4.0.1	The Operator shall use qualified flight attendants when required by the operation and/or aircraft type in accordance with applicable NAA regulations, including completion of the Operator's training program. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0.2	If the Operator uses flight attendants, they shall be fully trained and qualified in accordance with the Operator's NAA-approved flight attendant training program. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0 Cabin Servers		
5.0.1	When the Operator elects to use a cabin server, the Operator shall document that the cabin server cannot perform safety-related duties, and require written acknowledgement by the cabin server of such limitations. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0.2	When a cabin server is utilized, the Operator shall have policies and procedures to ensure that the passenger safety briefing delivered to passengers clearly indicates the cabin server cannot perform safety-related duties and that the flight crew is responsible for ensuring their safety. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0.3	When a cabin server is utilized, the Operator shall have policies and procedures to ensure the cabin server is listed as a passenger or a crewmember as appropriate to the operator's certificate on all flight documents, or as required by the NAA, customs and immigration. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
6.0 Medical Personnel		
6.0.1	The Operator shall have policies and procedures to ensure any medical crewmembers, required for the flight, are fully trained and qualified for the operation and the aircraft type in accordance with the applicable NAA regulations. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
6.0.2	The Operator shall have policies and procedures to ensure that any medical crewmembers, when transported in the absence of other passengers, are appropriately documented as either passengers or crew in accordance with the applicable NAA regulations. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

7.0 Security Personnel		
7.0.1	<p>The Operator shall use fully trained and qualified security personnel, including Armed Security Officers, when required by the State authority. If security personnel are required by the State authority, the Operator's manual system shall specify the duties and responsibilities of the security personnel and how these security personnel interface with other crewmembers assigned to the flight. The security personnel shall acknowledge in writing their understanding of the limitations of their assigned duties. The Operator's policies shall also describe the means for verifying security personnel have been trained by the State authority, where applicable.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
7.0.2	<p>The Operator shall have a policy to describe the conditions under which firearms and other weapons shall be stowed to prevent access. These policies shall be consistent with all security programs required by the State authority.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
7.0.3	<p>The Operator shall have policies and procedures to ensure that security personnel, when transported in the absence of other passengers, are documented as a passenger on appropriate flight documents.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
8.0 Other Safety Representatives		
8.0.1	<p>When other safety representatives are used or are required by the aircraft certification documents or Aircraft Flight Manual (such as an evacuation crewmember), the Operator shall have policies and procedures regarding the duties and responsibilities of that representative, and require written acknowledgement by the safety representative of the safety-related limitations associated with their duties.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
9.0 Use of Jump Seats		
9.0.1	<p>The Operator shall have policies and procedures regarding the conditions in which installed aircraft jump seats may be used by cabin personnel.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
9.0.2	<p>The Operator shall have policies and procedures to ensure jump seats are utilized in accordance with NAA regulations, State authorities, and aircraft manufacturer limitations.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

Section 6: Aircraft Ground Handling & Servicing		
1.0 Organization and Management		
1.0.1	<p>The Operator shall have processes and procedures to ensure that only personnel with the appropriate qualifications are allowed to perform any of the ground-handling functions. These individuals shall be listed in the Manual System or other appropriate documentation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.0.2	<p>The Operator shall document the personnel assigned to ground handling management and ensure they have the appropriate authority to make changes within the system.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.0.3	<p>The Operator shall have a procedure to communicate ground operations policies, procedures, corrective actions, operations alerts, lessons learned and other relevant information.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.0.4	<p>The operator shall have processes and procedures to ensure compliance with all applicable environmental, health and safety standards during ground operations. (See Guidance for evaluating.)</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Manuals and Documentation		
2.0.1	<p>The Operator shall have procedures for ground operations that include definitions, authorities and responsibilities. This shall include industry best practices with emphasis on safety and security of equipment and personnel.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0.2	<p>The Operator shall have policies and procedures regarding transportation (if applicable), recognition and handling of dangerous goods.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0.3	<p>The Operator shall have policies and procedures that describe interface, authorities and responsibilities for compliance with local airport operations and regulations.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0 Training Programs		
3.0.1	<p>The Operator shall have at least an initial and annual recurrent initial and recurrent training program that addresses the recognition, handling and transportation of dangerous goods, and specific emergency response for the release of dangerous goods.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

<p>3.0.2</p>	<p>The Operator shall have an initial and annual recurrent training program that addresses all relevant tasks and procedures for ground handling to include:</p> <p>A. Ergonomics, specifically proper lifting techniques regarding baggage and cargo handling; <i>Remarks / Evidence:</i></p> <p>B. Proper use of personal protective equipment; <i>Remarks / Evidence:</i></p> <p>C. Aircraft and ground vehicle fueling and servicing; <i>Remarks / Evidence:</i></p> <p>D. The operation of all ground vehicles relevant to ground handling. <i>Remarks / Evidence:</i></p> <p>E. Industry best practices in ground handling procedures <i>Remarks / Evidence:</i></p> <p>F. The regulations, policies and procedures of the specific airports of operation; <i>Remarks / Evidence:</i></p> <p>G. Aircraft ground handling and servicing: 1) Directing movement of aircraft 2) Parking aircraft 3) Aircraft ground power, engine and propeller operation 4) Tie down of aircraft 5) Taxiing of aircraft 6) Servicing of oxygen systems 7) Debris hazards at the airport 8) Ground vehicle operation on airports 9) Ground operations in conditions conducive to aircraft icing 10) Hazards following ground de-icing 11) Painting, marking, and lighting of vehicles used in support of aircraft operations on an airport <i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
--------------	--	--

4.0 Ground Handling Vendors — Away From Home Base		
4.1 Organization and Management		
4.1.1	The Operator shall have policies and procedures to ensure that vendor personnel have the appropriate qualifications, authority and responsibility to perform ground handling <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.2 Auditing and Quality Assurance		
4.2.1	The Operator shall have processes and procedures for auditing, tracking and trending of ground handling vendors, and to ensure quality control of goods and services provided by ground handling vendors. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.2.2	The Operator shall have processes and procedures to ensure that a ground handling vendor employs proper maintenance and servicing techniques / intervals for all ground servicing equipment. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.2.3	<i>Reserved.</i>	
4.2.4	<i>Reserved.</i>	
4.3 Management of Ground Handling Vendors		
4.3.1	The Operator shall have policies, procedures and a method for oversight of ground handling vendors. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.3.2	The Operator shall have policies to ensure that contract ground handling personnel used by the Operator meet the minimum training and qualifications required in paragraph 3.0 in this section of the Standard. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.4 Safety Programs and Risk Control		
4.4.1	The Operator shall have policies, procedures and controls related to safety for ground handling vendors that includes acknowledgement and signature of relevant policies and procedures. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

5.0 Programs		
5.1 Parking of Aircraft		
5.1.1	The Operator shall have documented policies and procedures regarding the parking of aircraft to include proper placement of safety cones and appropriate size wheel chocks for aircraft parked on the ramp or in hangars. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.2 Towing of Aircraft		
5.2.1	The Operator shall have policies and procedures regarding the towing of aircraft, to include a training program that ensures: towing equipment is appropriately rated for the aircraft to be towed, the minimum number of wing-walkers specified by the company is used, and an effective system of communications between the two vehicle driver and wing-walkers is in place. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.3 Taxiing of Aircraft		
5.3.1	The Operator shall have policies and procedures regarding non-flightcrew taxiing of aircraft, as applicable, including a training program for those individuals assigned responsibility for taxiing company aircraft. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.4 Fueling and Servicing of Aircraft		
5.4.1	The Operator shall have policies and procedures regarding the fueling and servicing of aircraft, including procedures to ensure the fueler's quality control program meets industry standards. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No
5.5 Directing Movement of Aircraft		
5.5.1	The Operator shall have policies and procedures for marshalling and direction of aircraft movement, including a training program for individuals assigned to marshal aircraft. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

5.6 Baggage Loading		
5.6.1	The Operator shall have policies and procedures regarding weighing and loading aircraft baggage including a training program for all individuals assigned to weigh and load aircraft baggage, including carry-on baggage. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.7 Quality Assurance		
5.7.1	The Operator shall have processes and procedures for auditing, tracking and trending of ground operations. This shall include internal and external audits. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.7.2	The Operator shall have processes and procedures to ensure proper maintenance and servicing techniques / intervals for all ground servicing equipment. This includes internal and external audits. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.8 Aircraft De-icing / Anti-icing		
5.8.1	The Operator shall have policies and procedures regarding aircraft anti-ice/de-ice operations including holdover times, if applicable. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

Section 7: Cargo Operations		
NOTE: See Guidance for Section 7 to determine applicability to your operation.		
1.0 Organization and Management		
1.0.1	<p>The Operator shall have policies and procedures describing the responsibilities and authority of managers and assigned aircrew (to include loadmasters and cargo handlers) in the Operations Manual required by this Standard. This shall include the conditions under which loadmasters or cargo handlers are assigned and the type and number of loadmasters or cargo handlers that shall be assigned to flights.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Loading Cargo		
2.0.1	<p>The Operator shall have a training program to qualify aircrew (including loadmasters) in cargo handling, loading and transportation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0.2	<p>The Operator shall have a audit process regarding the use of contract cargo handlers and loadmaster services.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0.3	<p>The Operator shall have policies and procedures for completing load manifests as required by the NAA. This description shall include: identification of the individual(s) responsible for the completion of the load manifests, a description of what information is required by the NAA, how long it must be retained, and where manifests are maintained.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0 Manuals and Documentation		
3.0.1	<p>The Operator shall have policies and procedures regarding the duties, responsibilities, limitations, for flight crewmembers and cargo loadmasters.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No Meets Std: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0.2	<p>The Operator shall maintain loadmaster records to include training and qualification.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

4.0 Training Programs		
4.0.1	The Operator shall have a current initial and recurrent training program for its flight crew and cargo loadmasters, to include cargo inspection and loading procedures, and hazmat notification, recognition, and acceptance/rejection procedures according to the Operator's NAA-approved Dangerous Goods / Hazardous Materials carrying status. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0.2	The Operator shall provide training specific to the cargo and aircraft being utilized. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0.3	The Operator shall provide training regarding the use of an emergency response plan for cargo spills and other non-standard events for flight crew and cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0.4	The Operator shall provide training regarding safety and security to flight crew and cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

Section 8: Operational Security		
1.1 Organization and Management		
1.1.1	<p>The Operator shall have sufficient personnel in place, either directly employed by the Operator or by contractual agreement, to administer its security program, in accordance with the requirements of the State authority/authorities. The Operator shall state in its documentation the name of the person(s) who it has designated to be the primary and alternate (if any) Security Coordinators as required by any State authority.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.2 Management		
1.2.1	<p>The Operator's management shall have knowledge of the State security requirements and assist the Security Coordinator in the execution of the Security Program.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
1.2.2	<p>The Operator shall screen, train and test all employees in accordance with State requirements and/or the Operator's security policies and procedures. Documentation of this training, including test scores, shall be maintained.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
2.0 Manuals and Documentation		
2.0.1	<p>The Operator shall include either in its NAA-required manual, or in a separate document, those security process and procedures that its personnel will follow to assure compliance with any State or Operator mandated security procedures. The manual or other document must include guidance on the reporting of security anomalies or deficiencies to the Operator's security management personnel.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0 Quality Assurance		
3.0.1	<p>The Operator shall have a process in its Internal Evaluation Program to test or evaluate the effectiveness of its security program. The tests or evaluations shall be documented to includes any deficiencies found and the corrective action taken. All future testing or evaluations performed by the Operator shall include a focused look at any deficiencies identified in its previous testing or evaluations.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
3.0.2	<p>The required testing and evaluation shall be conducted by a person authorized by the Operator, with a "need to know." The results of any security program tests and/or evaluations must be maintained at the highest level of confidentiality, and must not be available or disclosed to any person or entity.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

4.0 Training Programs		
4.0.1	<p>The Operator shall conduct initial and annual recurrent basic security training for all employees to consist of at least the following elements:</p> <ul style="list-style-type: none"> A. Facility security B. Aircraft security C. Client/customer confidentiality D. Workplace violence <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
4.0.2	<i>Reserved.</i>	
5.0 Contract Services		
5.0.1	<p>If the Operator utilizes contract security services for either facilities or aircraft security, the services used must be bonded (where permitted by local regulations), insured, and have a formal security personnel training program approved by the Operator.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
5.0.2	<p>All contract security service personnel used by the Operator must have a full ten (10) year background check completed consisting of at least the minimum requirements for Operator employee background checks, where permitted by local regulations. These documented procedures shall designate the individual(s) responsible for verifying contractor records and ensuring the requirements are met.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
6.0 Access Control		
6.0.1	<p>The Operator will specify in its NAA-required manual or other document, the processes and procedures used to ensure controlled access to its facilities (including data storage facilities), the aircraft under its control, and airport operations areas.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
6.0.2	<p>The Operator shall have policies and procedures regarding ramp access of ground transportation vehicles, ensuring that any “through the fence” transportation is escorted and marshaled.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
7.0 Aircraft		
7.0.1	<p>The Operator shall have policies and procedures either in its NAA-required manual or other documentation, describing the procedures used for securing aircraft under its control.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
8.0 Carriage of Prohibited Items		
8.0.1	<p>The Operator shall provide information to passengers regarding prohibited items that may not be carried aboard a commercially operated aircraft.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

		N/A: <input type="checkbox"/>
8.0.2	<p>The Operator shall have documented policies and procedures for the handling and disposition of any prohibited item carried by a passenger, including the provisions for items that are discovered by prior to initial departure or during subsequent legs. This can include disposal or alternate transportation of the items.</p> <p><i>Remarks / Evidence:</i></p>	<p>N/A: <input type="checkbox"/></p> <p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
8.0.3	<p>If the Operator accepts the carriage of firearms aboard its aircraft, the Operator shall have documented procedures to:</p> <p>A. Ensure that all passenger firearms are unloaded and properly secured so as not to be used as a weapon onboard the aircraft.</p> <p>B. Ensure that all persons such as law enforcement personnel or personal bodyguards, who the Operator has approved to carry a firearm aboard its aircraft have been properly briefed regarding the safety precautions required for the carriage and use of firearms in an aircraft.</p> <p>Procedures for carrying unloaded firearms on an aircraft shall include:</p> <ul style="list-style-type: none"> • The individual responsible for ensuring the firearms are properly cleared, • Indicate where the firearms will be located, • The individual under whose control the firearms will be placed, and • Proper exchange and return of the firearm. <p>These procedures shall include provisions for reviewing the laws of the country especially when firearms are to be transported from one country to another.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
8.0.4	Reserved.	
9.0 Passengers and Cabin Baggage		
9.0.1	<p>The Operator shall have policies and procedures to ensure that passengers embarking are properly identified.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
9.0.2	<p>The Operator shall have policies and procedures to ensure only authorized passenger baggage is loaded on the aircraft.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
10.0 Security Requirements		
10.0.1	<p>The Operator shall have a Security Program. This Security Program shall include all State regulatory elements.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>

10.0.2	<p>The Operator shall maintain records for at least three years on all employees' who have received training in accordance with the Operator's Security Program.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
11.0 Drug and Alcohol Screening		
11.0.1	<p>The operator shall have an Anti-drug and Alcohol Misuse Education and Prevention Program for all employees.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
11.0.2	<p>The Operator shall have a drug and alcohol testing program for employees assigned to safety-sensitive positions as required by the NAA. In States where no testing is required, the Operator shall comply with this Standard to the extent possible without violating privacy regulations of the governing authority. If the laws of the State prohibit testing, the Manual system must so state.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
11.0.3	<p>If a drug and alcohol testing program is not required by the NAA, the operator will conduct pre-employment, random, reasonable suspicion, post-accident, return to duty, and follow-up testing, as applicable, for all employees in safety-sensitive positions. In those cases where the Operator is prohibited from accomplishing some portion of the Standard, it shall document the prohibition and cite the law preventing conformance.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
12.0 Employee Background Checks		
12.0.1	<p>The Operator shall have processes and procedures for conducting a full 10-year background check on all employees where permitted by the State. The background check for criminal convictions shall have no time limitation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
12.0.2	<p>The Operator shall have processes and procedures for conducting a full 10-year background check on all <i>contract</i> employees, regardless of whether they are employed directly by the Operator or through an employment agency, where permitted by the State. The background check for criminal convictions shall have no time limitation.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>
13.0 Facility Security		
13.0.1	<p>The Operator shall have processes and procedures to maintain security of its facilities and property, including internal evaluation and documentation of weaknesses, corrections, and effectiveness of corrections.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/></p>

14.0 Passenger Confidentiality		
14.0.1	The Operator shall have policies and procedures regarding passenger and customer confidentiality. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

Section 9: Passenger Handling & Safety		
1.0 Customer Trip Coordination / Customer Interaction		
1.0.1	<p>The Operator shall have policies and procedures to ensure safety of flight situations are disclosed and coordinated with the customer. This may include, but is not limited to:</p> <ul style="list-style-type: none"> A. Duty time, B. Baggage constraints, C. Airport limitations, D. Aircraft capabilities, and E. Weather consideration. <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
1.0.2	<p>The Operator shall have documented policies and procedures regarding the appropriate interaction between crewmembers and passengers.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
2.0 Passenger Loading / Unloading		
2.0.1	<p>The Operator shall have policies and procedures regarding the loading and unloading of passengers. Consideration items should include weather and passenger physical / mental condition.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>
2.0.2	Reserved.	
3.0 In-Flight		
3.0.1	<p>The Operator shall have policies and procedures regarding in-flight customer safety and convenience standards. These procedures shall take into consideration: response to health emergencies, in-flight passenger mobility, passenger service items, passenger briefing of onboard equipment and service items.</p> <p><i>Remarks / Evidence:</i></p>	<p>Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>N/A: <input type="checkbox"/></p>

Section 10: Dangerous Goods / Hazardous Materials – Will Carry Operator		
NOTE: This section applicable only for an operator approved to carry Dangerous Goods / Hazardous Materials.		
1.0 Organization and Management		
1.0.1	The Operator shall have policies and procedures regarding assignment of flight crew and cargo loadmasters, including the use of contract personnel, for the carriage of Dangerous Goods (DG) / Hazardous Materials (Hazmat) with passenger transportation. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
1.0.2	The Operator shall have policies and procedures regarding responsibilities, authority, and interfaces between the pilot-in-command, second-in-command, and assigned cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0 Inspecting and Loading Cargo		
2.0.1	The Operator shall have policies and procedures for a crewmember or company representative to inspect all DG / Hazmat and packaging before it is placed onboard the aircraft. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0.2	The Operator shall have policies and procedures to ensure the pilot in command is notified when DG / Hazmat is placed onboard the aircraft and is provided appropriate documentation in accordance with State regulations. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0.3	The Operator shall have a current initial and recurrent training program for its flight crew and cargo loadmasters, to include cargo inspection and loading procedures, and DG / Hazmat notification, recognition, and acceptance/rejection procedures according to the Operator's NAA-approved DG / Hazmat carrying status. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
2.0.4	The Operator shall have an audit process for contract cargo handling and loading when utilized. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
3.0 Hazardous Materials		
3.0.1	The Operator shall hold the appropriate NAA authorization regarding its will-carry status. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>

4.0 Manuals and Documentation		
4.0.1	The Operator shall have policies and procedures regarding the duties, responsibilities, and limitations for flight crew and cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
4.0.2	The Operator shall have policies and procedures regarding the retention of DG / Hazmat records for a minimum of 90 days. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0 Training Programs		
5.0.1	The Operator shall provide training specific to the cargo loading, and security, to include weight and balance training for the types of cargo, and the specific types of aircraft being utilized. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0.2	The Operator shall provide training regarding the use of an emergency response plan for cargo spills and other non-standard events for flight crew and cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>
5.0.3	The Operator shall provide training regarding safety and security to flight crew and cargo loadmasters. <i>Remarks / Evidence:</i>	Documented: <input type="checkbox"/> Yes <input type="checkbox"/> No Implemented: <input type="checkbox"/> Yes <input type="checkbox"/> No N/A: <input type="checkbox"/>